

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U74999KL2018PLC055298

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AADCF5476H

(ii) (a) Name of the company

FEDERAL OPERATIONS AND SE

(b) Registered office address

DOOR NO. 8/628, FIRST FLOOR,  
FEDERAL TOWERS, BANK JUNCTION  
ALUVA  
Ernakulam  
Kerala  
682101

(c) \*e-mail ID of the company

johnson@federalbank.co.in

(d) \*Telephone number with STD code

04842412071

(e) Website

FedServ.co.in

(iii) Date of Incorporation

26/10/2018

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(b) CIN of the Registrar and Transfer Agent

U74900TN2015PTC101466

Pre-fill

Name of the Registrar and Transfer Agent

INTEGRATED REGISTRY MANAGEMENT SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

2nd Floor Kences Towers ,1 Ramakrishna Street  
North Usman Road T Nagar

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	N	Support service to Organizations	N7	Other support services to organizations	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	THE FEDERAL BANK LTD	L65191KL1931PLC000368	Holding	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	5,000,000	5,000,000	5,000,000	5,000,000
Total amount of equity shares (in Rupees)	50,000,000	50,000,000	50,000,000	50,000,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	5,000,000	5,000,000	5,000,000	5,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	50,000,000	50,000,000	50,000,000	50,000,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	15,000,000	5,000,000	5,000,000	5,000,000
Total amount of preference shares (in rupees)	150,000,000	50,000,000	50,000,000	50,000,000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>PREFERENCE SHARES</b>				
Number of preference shares	15,000,000	5,000,000	5,000,000	5,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	150,000,000	50,000,000	50,000,000	50,000,000

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	0	5,000,000	5000000	50,000,000	50,000,000	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	5,000,000	5000000	50,000,000	50,000,000	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	5,000,000	5000000	50,000,000	50,000,000	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	5,000,000	5000000	50,000,000	50,000,000	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		17/08/2021	
Date of registration of transfer (Date Month Year)		11/08/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		IN30151610023280	
Transferor's Name	Cyriac		Wilson
	Surname	middle name	first name
Ledger Folio of Transferee		IN30151670082101	

Transferee's Name	<input type="text" value="Venkateswaran"/>	<input type="text"/>	<input type="text" value="Venkatraman"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

426,662,821

**(ii) Net worth of the Company**

162,712,491

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	305	0.01	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	4,999,695	99.99	5,000,000	100
5.	Financial institutions	0	0	0	0

6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	<b>Total</b>	5,000,000	100	5,000,000	100

**Total number of shareholders (promoters)**

7

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0



	<b>Total</b>	0	0	0	0
--	--------------	---	---	---	---

**Total number of shareholders (other than promoters)**

0

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

7

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	1	0	3	0	0
<b>B. Non-Promoter</b>	0	2	1	1	0	0
(i) Non-Independent	0	2	1	1	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	1	3	1	4	0	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Balogopal Chandrasekh	00430938	Director	0	26/04/2022
Venkateswaran Venkatr	09227554	Director	0	
Kunhi Krishnan Ajith Ku	08504660	Director	0	
Johnson Koonamplakka	08267398	Director	0	
Prashant Preman	09134084	Whole-time directo	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Ashish Peety	CNPPP9485A	Company Secretar	16/04/2021	Cessation as Company Secretary
Ashish Peety	CNPPP9485A	CFO	12/07/2021	Cessation as Chief Financial Officer
PAIPARAMBATH ANIL	AVRPA5902B	Company Secretar	16/04/2021	Appointment as Company Secretary
Rinu Jacob	CCZPJ5583L	CFO	12/07/2021	Appointment as Chief financial Office
VENKATESWARAN VE	09227554	Additional director	12/07/2021	Appointment as an Additional Director
VENKATESWARAN VE	09227554	Director	17/08/2021	Appointment as a Non-executive Dire
SHALINI WARRIER	08257526	Director	24/05/2021	Cessation as Director

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	17/08/2021	7	7	100

**B. BOARD MEETINGS**

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	16/04/2021	5	5	100
2	12/07/2021	5	5	100
3	12/10/2021	5	5	100
4	20/01/2022	5	5	100

### C. COMMITTEE MEETINGS

Number of meetings held

0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

### D. \* ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
		(Y/N/NA)						
1	Balagopal Cha	4	4	100	0	0	0	
2	Venkateswara	3	3	100	0	0	0	
3	Kunhi Krishna	4	4	100	0	0	0	
4	Johnson Koon	4	4	100	0	0	0	
5	Prashant Pren	4	4	100	0	0	0	

### X. \* REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr.Prashant Prema	Whole-Time Dire	2,886,012	0	0	0	2,886,012
	Total		2,886,012	0	0	0	2,886,012

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rinu Jacob	CFO	731,067	0	0	0	731,067
	Total		731,067	0	0	0	731,067

Number of other directors whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	BALAGOPAL CHAN	Director	0	0	0	120,000	120,000
	Total		0	0	0	120,000	120,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow  Associate  Fellow

Certificate of practice number

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**To be digitally signed by**

- Company Secretary  
 Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

Annexure I Shareholding pattern signed 30 Draft MGT 8 Fededal Operations FY 2021
---

<b>Remove attachment</b>
--------------------------

---

Modify
--------

Check Form
------------

Prescrutiny
-------------

Submit
--------

---

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

Partners:

**CS Sivakumar P.**, M.Com, FCMA, FCS

**CS Madhusudhanan E.P.**, M.com, FCMA, FCS

**CS Anju Panicker**, BA, LLB (Hons.), ACS

Reg. Office: Building No. C.C 56/172  
K.C. Abraham Master Road,  
Panampilly Nagar, Kochi-682036  
+91 9947262162, +91 8089359964  
[www.sepassociates.in/info@seppmail.in](http://www.sepassociates.in/info@seppmail.in)

## Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies  
(Management and Administration) Rules, 2014]

### CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **FEDERAL OPERATIONS AND SERVICES LIMITED** (CIN: **U74999KL2018PLC055298**) as required to be maintained under the Companies Act, 2013 (the Act) and the Rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2022. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made thereunder in respect of:
  1. Its status under the Act;
  2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
  3. Filing of forms and returns as stated in the Annual Return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;

No forms and returns were required to be filed with Regional Director, Central Government, the Tribunal, Court or other authorities. The particulars of the Forms filed with the Registrar of Companies during the aforesaid period are as per **Annexure I;**

4. Calling/ convening/ holding meetings of Board of Directors or its committees, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed:

The Company was not required to constitute any committees of the Board under various provisions of Companies Act, 2013 and Rules made thereunder. There was no postal Ballot during the period under review.

5. Closure of Register of Members during the year;

The Company has not closed its Register of Members during the period under review.

6. Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act:

The Company has not advanced any loans/advances to its directors and/or persons or firms or companies referred in section 185 of the Act;

7. Contracts/arrangements with related parties as specified in Section 188 of the Act:

8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances:

During the period under review, there was no change in capital structure of the company.



9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act:

During the reporting period there were no such instances.

10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

The company has not declared any dividend during the financial year 2020-21. There were no amounts which were required to be transferred to the Investor Education and Protection Fund by the Company;

11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;

The Board of Directors of the Company is duly constituted. The appointment and re-appointment of Director liable to retire by rotation were made in compliance with the provisions of the Act.

During the period under review, Mr. Venkateswaran Venkatraman (DIN: 09227554) was appointed as Additional director of the Company, with effect from July 12,2021.

During the period Ashish Peety retired from the post of Company Secretary and CFO of the Company on April 16,2021 and July 12,2021 respectively. The Board of Directors appointed Paiparambath Anil as Company Secretary and Compliance Officer w.e.f April 16,2021. And Mr. Rinu Jacob was appointed as CFO of the Company on July 12,2021.

13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;

There were no such instances occurred during the period under review.

14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act:

The Company was not required to obtain approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

15. Acceptance/ renewal/ repayment of deposits:

The Company has not accepted, renewed or repaid any deposits;

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;

There were no such instances during the period under review.

17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act:

The Company has not given Loans and investments or guarantees or provided any securities to other body corporate or persons falling under the provisions of section 186 of the Act.

18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

The Company has not made any alterations to the provisions of the Memorandum and Articles of Association of the Company.

This Certificate is to be read with our annexure of even date which is annexed as **Annexure II** and Forms an integral part of this Certificate.

**For SEP & Associates**

**UDIN:**

Company Secretaries

(ICSI Unique Code: P2019KE075600)

**Sd/-**

**CS Puzhankara Sivakumar**

Managing Partner

M.No: 3050

CP. No: 2210

Date: 26.08.2022

Place: Ernakulam

## Annexure I

## Forms and Returns filed during the Financial Year

SL No.	Name of e-Form	Purpose of the e-Form	Date of Filing	Whether Filed Within time
1	AOC-XBRL	Filing of XBRL document in respect of Financial Statement and other documents with the Registrar for the financial year ended 31.03.2021	29.09.2021	No
2	MGT-7	Annual Return for the financial year ended 31.03.2021	16.10.2021	Yes
3	MGT-14	Adoption of FS 2021-22, Board's Report and Appointment of Rinu Jacob as CFO	11.08.2021	No
4	MGT-14	Filing of Form MGT-14 resolution passed at the Board Meeting dated 16.04.2021	12.05.2021	Yes
5	MGT-14	Filing of MGT-14 - Resolutions passed in the shareholders meeting dated 17/08/2021	15.09.2021	Yes
6	MGT-6	Filing of Form MGT-6 for Declaration of Beneficial Ownership of Shares for Venkatraman Venkateswaran	04.10.2021	Yes
7	MR-1	Filing of Form MR-1, appointment of Whole Time Director	07.05.2021	Yes
8	DIR-12	Filing of DIR-12_Appointment of Venateswaran Venkatraman as Director from Additional director	04.10.2021	No
9	DIR-12	Filing of DIR-12, appointment of Whole Time Director,CS and cessation of CS	14.05.2021	Yes

10	DIR-12	Filing of Form Dir-12, Cessation of Director Ms. Shalini Warriar	01.07.2021	Yes
11	DIR-12	Appointmnet of Venkateswaran Venkatraman as Additional Director and cessation of Ashish Peety (CFO) and appointment of Rinu Jacob as CFO	12.08.2021	No
12	DIR-12	Filing of Form DIR-12, appointment of Additional Direction and Change in Designation of Director Mr.Johnson	07.05.2021	Yes

**ANNEXURE TO MGT-8 OF EVEN DATE**

Our Certificate of MGT-8 as per Section 92(2) of the Companies Act, 2013 read with Rule 11(2) of Companies (Management and Administration) Rules, 2014 of even date is to be read along with this Annexure.

1. Maintenance of the registers and records is the responsibility of the management of the Company. Our responsibility is to verify and to express an opinion on these records and its contents.
2. We have followed the practices and process as were appropriate, to obtain reasonable assurance about the correctness of the contents of the MGT-8 Certificate. We believe that the process and practices we followed provide a reasonable basis for our certification.
3. The correctness and appropriateness of financial records and Books of Accounts of the Company have not been verified.
4. We have obtained representation from the Management of the Company about the compliance of laws, rules and regulations and happening of events etc., wherever required.
5. While forming an opinion on compliance and issuing the Certificate, we have also taken into consideration the compliance related actions taken by the Company after 31<sup>st</sup> March, 2022 but before issue of the Certificate.
6. We have considered actions carried out by the Company based on independent legal/ professional opinion as being in compliance with law, whenever there was scope for multiple interpretations, especially since the financial year in which the Companies Act, 2013 has become operational.

**For SEP & Associates**

Company Secretaries

(ICSI Unique Code: P2019KE075600)

**UDIN:**

**CS Puzhankara Sivakumar**

Managing Partner

M.No: 3050

CP. No: 2210

**Federal Operations and Services Limited**

**List of Equity Shareholders as on 31.03.2022**

SI No	Shareholder's Name	No. of Shares Held		
1	The Federal Bank Limited	49,99,695		
2	Shyam Srinivasan	300		
3	Ashutosh Khajuria	1		
4	Venkateswaran Venkatraman	1		
5	Johnson K Jose	1		
6	Ajith Kumar K K	1		
7	Pitchai Mahalingam	1		
	<b>Total</b>	<b>50,00,000</b>		

**Federal Operations and Services Limited**

**List of Preference Shareholders as on 31.03.2022**

SI No.	Shareholder's Name	No. of Shares held		
1	The Federal Bank Limited	50,00,000		
	Total	50,00,000		